

**VIRTUAL/TELECONFERENCE
ACCOUNTING EXAMINING BOARD
MEETING MINUTES
SEPTEMBER 1, 2021**

PRESENT: Gerald Denor, Michael Friedman, Robert Misey, Joan Phillips, John Reinemann
(*arrived at 9:03 a.m.*), David Schlichting, Susan Strautmann

STAFF: Brad Wojciechowski, Executive Director; Jon Derenne, Legal Counsel; Sofia Anderson, Administrative Rule Coordinator; Katlin Schwartz, Bureau Assistant; Kimberly Wood, Program Assistant Supervisor-Adv.; and other DSPS Staff

CALL TO ORDER

Michael Friedman, Chairperson, called the meeting to order at 9:01 a.m. A quorum of six (6) members was confirmed.

ADOPTION OF AGENDA

MOTION: Gerald Denor moved, seconded by Joan Phillips, to adopt the Agenda as published. Motion carried unanimously.

APPROVAL OF MINUTES OF JUNE 9, 2021

MOTION: Robert Misey moved, seconded by Joan Phillips, to approve the minutes of June 9, 2021 as published. Motion carried unanimously.

(*John Reinemann arrived at 9:03 a.m.*)

ADMINISTRATIVE RULE MATTERS

CR 20-041 (Accy 2), Relating to Requirements for Certification

MOTION: Michael Friedman moved, seconded by Gerald Denor, to approve the Adoption Order for Clearinghouse Rule CR 20-041 relating to requirements for certification. Motion carried unanimously.

CR 21-013 (Accy 2), Relating to Examination Completion Deadline

MOTION: Gerald Denor moved, seconded by David Schlichting, to authorize the Chairperson to approve the Adoption Order for Clearinghouse Rule CR 21-013 relating to examination completion deadline once it is ready for approval. Motion carried unanimously.

Extension Status – EmR 2113, Accy 2, Relating to Uniform CPA Examination Deadline

MOTION: Gerald Denor moved, seconded by Joan Phillips, to delegate authority to the Chairperson to approve the request for a second extension of EmR 2113 (Accy 2) relating to the Uniform CPA Examination Deadline, to expire 12/31/2021. Motion carried unanimously.

CREDENTIALING MATTERS

Certified Public Accountants Certified and Licensed Since the Last Review Meeting

MOTION: David Schlichting moved, seconded by John Reinemann, to accept all certified public accountants that have been certified and licensed since the last Board meeting. Motion carried unanimously.

Firms Licensed Since the Last Review Meeting

MOTION: Michael Friedman moved, seconded by Gerald Denor, to accept all public accounting firms that have been licensed since the last Board meeting. Motion carried unanimously.

**SPEAKING ENGAGEMENTS, TRAVEL, OR PUBLIC RELATION
REQUESTS, AND REPORTS**

Consideration of Attendance: 2021 NASBA Annual Meeting – October 31-November 3, 2021 – San Diego

MOTION: Joan Phillips moved, seconded by John Reinemann, to designate Michael Friedman, as the Board's delegate, and to authorize Gerald Denor to attend the 114th NASBA Annual Meeting, on the Boards behalf, on October 31, 2021 – November 3, 2021 in San Diego, CA and to authorize travel/attendance. Motion carried unanimously.

CLOSED SESSION

MOTION: Michael Friedman moved, seconded by Joan Phillips, to convene to closed session to deliberate on cases following hearing (s. 19.85(1)(a), Stats.); to consider licensure or certification of individuals (s. 19.85(1)(b), Stats.); to consider closing disciplinary investigations with administrative warnings (ss. 19.85 (1)(b), and 440.205, Stats.); to consider individual histories or disciplinary data (s. 19.85 (1)(f), Stats.); and to confer with legal counsel (s. 19.85(1)(g), Stats.). Michael Friedman, Chairperson, read the language of the motion. The vote of each member was ascertained by voice vote. Roll Call Vote: Gerald Denor-yes; Michael Friedman-yes; Robert Misey-yes; Joan Phillips-yes; John Reinemann-yes; David Schlichting-yes; and Susan Strautmann-yes. Motion carried unanimously.

The meeting convened to Closed Session at 9:56 a.m.

DIVISION OF LEGAL SERVICES AND COMPLIANCE (DLSC) MATTERS

Proposed Stipulations, Final Decisions and Orders

MOTION: David Schlichting moved, seconded by Susan Strautmann, to adopt the Findings of Fact, Conclusions of Law and Order in the matter of disciplinary proceedings of the following cases:

1. 18 ACC 012 – Oliver Plunkett, Oliver Plunkett SC
2. 21 ACC 002 – Dennis J. Wiser

Motion carried unanimously.

RECONVENE TO OPEN SESSION

MOTION: Gerald Denor moved, seconded by Joan Phillips, to reconvene into open session. Motion carried unanimously.

The meeting reconvened into Open Session at 10:07 a.m.

VOTE ON ITEMS CONSIDERED OR DELIBERATED UPON IN CLOSED SESSION

MOTION: Michael Friedman moved, seconded by Susan Strautmann, to affirm all motions made and votes taken in closed session. Motion carried unanimously.

(Be advised that any recusals or abstentions reflected in the closed session motions stand for the purposes of the affirmation vote.)

ADJOURNMENT

MOTION: Robert Misey moved, seconded by Joan Phillips, to adjourn the meeting. Motion carried unanimously.

The meeting adjourned at 10:15 a.m.